# THE HUMAN RESOURCE MANAGEMENT FUNCTION AND PERCEPTIONS OF ORGANIZATIONAL PERFORMANCE

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This study looked into the strategic management of the HR function in terms of the sophistication of its human resource management plan and its focus on practices that have greater strategic value. Results from a sample of 71 firms in Metro Manila showed that there is a positive relationship between sophistication of the human resource management plan and perceptions of organizational performance and the degree to which firms undertake HR practices with greater strategic value. In addition, it was also found that such transformational HR practices were positively associated with perceived organizational performance. The resource-based theory of the firm was invoked to explain these relationships.

The need to approach the management of human resources from a strategic perspective has long been recognized, the impetus of which is the realization that HR is a strategic resource.

Broadly, strategic human resource management (SHRM) pertains to planned human resource policies, systems and activities that enable the organization to achieve its goals (Wright and McMahan, 1992). Martel and Carroll (1995a), on the other hand, added that HR must have a long-term focus, be linked to the strategic planning process, and must contribute to the bottom line even as line managers are involved in the HR policy-making process. Moreover, SHRM involves the use of planning, the design and management of HR systems anchored on clear corporate strategy, HR philosophy and policy, and viewing HR as a strategic resource (Hendry and Pettigrew, 1986).

The above definitions of strategic human resource management imply several ways of approaching it. One such approach is to examine the roles of HR in strategic management

(Golden and Ramanujam, 1985; Martell and Carroll, 1995a; Conner and Ulrich, 1996; Koch and McGrath, 1996; Bennett, Ketchen, and Schultz, 1998; Wright, McMahan, McCormick, and Sherman, 1998a; and Lawler and Mohrman, 2000). Another approach is to examine the management of the function itself and one important consideration of an HR function that is ready to assume a strategic role is to have a blueprint with which to guide HR decisions — the HR plan (Lawler and Mohrman, 2000). In addition, strategic human resource management may be approached in terms of the strategic value of the activities engaged in by HR (Wright, McMahan, Snell, and Gerhart, 1998b in Noe, Hollenbeck, Gerhart, and Wright, 2000; Lawler and Mohrman, 2000).

This paper takes on the last two approaches described earlier, that is, by looking at the function itself, more specifically, the degree of sophistication of its human resource plan, and by determining the strategic value of HR practices.

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Given the above considerations, this study aims to determine the relationship between the:

- 1. sophistication of human resource management plan and perceived organizational performance;
- 2. sophistication of human resource management plan and the degree to which companies undertake practices with high strategic value; and
- 3. strategic value of HR activities and perceived organizational performance.

## I. HR AS A STRATEGIC RESOURCE

The resource-based view of the firm (Barney, 1991) argues that firms develop and sustain competitive advantage when they are able to create value in ways that are unique and difficult to imitate.

Human resource, both as labor and system, is a strategic resource. The fact that people are unique and may respond to different systems differently may become a source of leverage for companies seeking to gain competitive advantage. This makes human resource systems difficult to imitate because people interact with the HR system, the result of which is difficult to completely predict. While the mechanics of the system may be copied, the exact effect of one system in one organization may be different on another. Thus human resource, both as labor and system, constitutes ambiguous causally a significant resource and is a organizational capability (Collis and Montgomery, 1998). That is why pirating a competitor's executives, even as they bring with them the blueprints of a human resource system, may not even be a guarantee that its system can be imitated since it is a capability that is embedded in an organization (Becker and Gerhart, 1996). Indeed, socially complex phenomena such organizational culture. interpersonal relations, and an organization's reputation among customers and suppliers are difficult to imitate because they cannot be completely understood and hence cannot be systematically managed (Barney, 1991).

Another factor that makes imitation difficult is path dependence of a resource. dependent resources cannot instantaneously acquired (Collis Montgomery, 1998). The extent to which an HR system is inherently path dependent also makes imitation difficult. This is because the result of the implementation of a human resource system is a process and may be understood only through experience and the passage of time. As an organization evolves, it develops skills, abilities and resources specific to it. The process is difficult to accelerate because it reflects the unique personalities and interactions that exist in the organization (Barney, 1995). As an organization has a natural such. protection against imitation, or can at least delay imitation, because the competitor must recreate the path it has taken (Collis and Montgomery, 1998).

The implication of the above discussions is that complex HR systems that build individual as well as organizational capabilities in ways that make them unique to the firm and difficult

to imitate, can be sources of competitive advantage. Thus certain HR practices or bundles of HR practices generate strategic value. On this basis, HR activities may be classified into three categories (Wright, et al., 1998b in Noe, et al., 2000). Activities may be transactional in nature such as records keeping, benefits administration and employee services. There is little room for strategic value in these kinds of activities. There are also the traditional activities which underlie the fundamental practices and systems for strategy implementation. These include recruitment. selection. performance management. training, compensation, and employee relations. These have moderate strategic value. The third category of HR activities ensures the long-term capability and flexibility of the organization and is also of greatest strategic value to the firm. These activities include knowledge management, cultural change, management development and strategic redirection and renewal.

## II. SOME EMPIRICAL FINDINGS

examining the relationship between seven key human resource practices including internal opportunities, training, results oriented appraisal, profit sharing, employment participation, security, and iob description on the one hand and performance on the other, Delery and Doty (1996) found three of these practices - results oriented appraisal, employee participation and internal career opportunities - to be directly related with performance. In addition, Delaney and Huselid (1996) examined the effect on performance of HRM practices that influence employee skills and motivation and the structure of work, namely: training and staffing internal labor market. selectivity. decision making, decentralized performance-contingent compensation, formal grievance procedures and vertical hierarchy. Three of these practices were positively related with perceptions of organizational performance. These training, incentive included compensation, and vertical hierarchy. Moreover, Koch and McGrath (1996) significant found positive and

relationships between productivity and the following human resource practices: the use of sophisticated human resource planning, recruitment and selection strategies. While the preceding studies focused on the relationship between firm performance and individual HR practices, Huselid (1995) adopted a systems perspective and looked into a set of High Performance Work Practices which when factor analyzed loaded to two factors, namely: employee skills and organizational structure, and employee motivation. The results show support for the hypotheses that high performance work practices are negatively associated with turnover and positively associated with productivity and firm performance. Moreover, Youndt, Snell, Dean, and Lepak (1996) concluded that human capital enhancing HR systems strengthen operational performance employee productivity, machine efficiency, and customer alignment - in manufacturing.

At the strategic business unit level, Martell and Carroll (1995b) found positive relationship between five of the executive Human Resource Management The above studies dwelled on the impact of individual HR practice on performance. The contribution of this present study is in looking into the

decisions

strategic management of the HR function itself. It is argued that an HR function that is managed in a proactive manner as evidenced by the development of a human resource management plan, is associated with higher performance. In addition, proactive firms or firms that strategically manage the HR function itself also engage in more transformational HR activities which are considered to have higher strategic value compared to traditional HR activities, hence are expected to be associated performance. with higher

## III. HYPOTHESES

If HR as a function is to be a source of competitive advantage, it must be able to create value in ways that are unique and difficult to imitate. A starting point is the HR plan. The process of developing a human resource plan allows managers to critically assess where they are and where they want to go, and in so doing create systems that are congruent to the overall strategy of the organization and are unique to the firm. Thus, it is hypothesized that:

Ha1: Human resource management plan sophistication is positively related with performance.

Again, the process of planning allows an organization to examine its strengths and weaknesses, to locate where it is at and where it is going to be, and consequently to develop action plans to achieve its goals. Such an exercise also allows organizations to see the

importance of transformational human resource practices – those that enable a firm to be flexible and develop long-term capability. Thus, it is expected that:

Ha2: Human resource plan sophistication is positively associated with transformational HR sophistication.

Transformational practices such as knowledge management, management development and culture development enable long-term capability to be competitive and responsive to market and other environmental changes (Noe, et al., 2000). These are complex systems and evolve with the organization. As such they become path dependent and make imitation difficult.

Ha3: Sophistication in transformational human resource management practices is positively related with performance.

### IV. SAMPLE AND DATA COLLECTION

The study is based on a convenient sample of 71 companies in Metro September Manila. Between October 2001, a structured questionnaire (see Appendix) was sent through e-mail to heads of human resource departments of companies listed in the 2001 Personnel Management Association of the Philippines (PMAP) directory. In other cases, copies of the questionnaire were distributed to participants of seminars at the School of Labor and Industrial Relations of the University of the Philippines and PMAP.

Sixty-nine percent of the respondent companies belonged to the services sector. On average, these companies had been in existence for the past 24.55 years. The company average size was 982.92 employees in 2000 where 232.11 of these were contingent workers. The average size of the HR unit was 77 employees where each HR staff served an average of 82.09 employees.

## V. MEASUREMENTS

## **Dependent Variable**

The dependent variable in this study is perceived organizational performance. According to Delaney and Huselid (1996), this alternative measure of organizational performance correlates positively with objective measures of performance. Its use should provide a relationship corroboration of the between HRM practices and organizational performance.

Six Likert-type items adapted from Martell and Carroll (1995) were used to measure perceived organizational These items included performance. perception of performance in terms of growth rate, market share. operating profits. new products development, human resource development, and market development (please refer to the questionnaire in the Appendix). These items were factor analyzed. The items loaded to one factor with a Cronbach Alpha of 0.8363. The resulting factor scores. which are standardized scores with mean zero and standard deviation of 1, were used in subsequent analyses.

# **Independent Variables**

1. Human Resource Management Plan Sophistication

Human Resource Management Plan sophistication is measured by summing each component of a strategic plan that is present in the organizations' human resource plan (question 5 in Part I of the questionnaire, Appendix). In this case, the components included: HRM mission, HRM vision, HRM objectives, specific HRM plans, targets for specific HRM programs, budget for HRM Programs, and HRM measures of success. Each of these components is scored one when present in the organization's HRM plan. Thus scores range from zero, when the organization does not have a formal HRM plan, to seven,

when the organization has all components of a human resource management plan.

# 2. HR Practices Orientation Indices (also used as a dependent variable)

The concept of HR practices orientation is adapted from Wright, et al. (1998b in Noe, et al., 2000). HR performs several activities and these activities may be categorized based on strategic value. Transactional activities include activities needed for day-to-day transaction, but whose strategic value is low. **Traditional** activities are often those that ensure implementation strategy such recruitment and selection, training, management, performance compensation, and industrial relations. This set of activities is moderate in strategic value. On the other hand, another set of activities is considered of high strategic value because it creates long-term capability of the firm. These transformational are activities knowledge management. include management development, succession planning, etc.

For the purpose of this study, HR activities are categorized into transformational and traditional activities. The latter combines the traditional and transactional activities as defined by Wright, et al. (1998b). Twenty-five (seven transformational activities and 18 traditional activities)

HR activities were presented to respondents. They were asked to indicate whether or not each HR activity is performed by the HR staff, outsourced, a combination of the two, or not performed in the organization. The components of the transformational index included planning, culture change, management development, career management, succession planning, attitude surveys and knowledge management. The components of traditional/transactional HR activities included the 17 remaining items of question 6 in Part I of the questionnaire (Appendix).

For the purpose of computing the sophistication index of each category of HR practices (transformational and traditional/transactional), a score of zero is assigned if the activity is not provided by the organization, and one otherwise. The score is summed by category of HR practices and divided by the total number of activities in each category to get the index for each HR practices orientation (expressed in percentage terms). For brevity, the Transformational HRM Index is referred to as the TF Index, while the Traditional/Transactional HRM Index is referred to as the TD/TS Index.

### **Control Variable**

The purpose of introducing a control variable is to capture the effects of contextual factors related to performance and HR practices. To control for size effects, the natural logarithm of the number of employees was used.

### VI. RESULTS

Table 1 provides the means and correlations of all the variables used in this study. Inasmuch as perceived

organizational performance is a factor score, the mean of this variable is almost zero and standard deviation is almost one.

The average of the human resource plan (HRMP) index is 4.64 implying that companies had about five of the seven components of a strategic plan in place. Further analysis showed that 87.3% of the companies in the sample had a formal human resource management plan. While companies that had formal human resource plans had objectives (88.5%), targets (90.02%), plans (83.6%) and budget (88.3%), companies still need to work on some areas such as measure of HR success (62.3%), HR mission (70.5%) and HR vision (67.2%). On the other hand, the average index for transformational HR activities (TF Index) was 72.84% which means that about five of the seven transformational activities were undertaken by the sample organizations. In average addition the for the traditional/transactional activities HR (TD/TS Index) was 90.87%, implying that almost all of the 18 activities presented were undertaken by the organizations.

Table 1 Means and Correlations of Variables

Variables	Mean	Standard Deviation	N	Correlation Matrix				
				Perceived Performance	HRMP Index	TF Index	TD/TS Index	Size (ln)
Perceived Performance	-4.51E-17	0.9222	71	1.0				-
HRMP Index	4.63	2.50	71	0.380**	1.0			
TF Index	72.84	28.59	71	0.253*	0.336**	1.0		
TD/TS Index	90.87	16.68	70	0.214	0.270*	0.663**	1.0	
Size	982.92	1566.10	71	0.004	0.164	0.147	0.195	1.0

<sup>\*</sup>p<.05; \*\*p<.01

One question this study aimed to address was whether or not HR plan sophistication was related with perceived organizational performance. Model 1 of Table 2 shows that the relationship of degree of sophistication of human resource management with plan perceived performance was positive and significant (with p<.001) which supports the first hypothesis.

Table 2 Results of Regression Analyses

tell to p	Dependent Variables						
Independent	Perceive Pe	TF Index					
Variables	Model 1	Model 2	Model 3	Model 4			
HRMP Index	0.397***			0.292**			
TF Index		0.246*	0.253*				
Size (ln)	-0.051	0.030		0.129			
$\mathbb{R}^2$	0.146	0.065	0.064	0.128			
F	5.832**	2.351	4.703*	4.974**			

Note: Entries are standardized regression coefficients. +p<0.10; \*p<.05; \*\*p<.01; \*\*\*p<.001

It was also hypothesized that the degree of sophistication of human resource management plan positively associated with the degree of sophistication of transformational human resource management practices. Model 4 of Table 2 supports such hypothesis and the relationship was significant at p<.01.

The third hypothesis suggested that sophistication of transformational human resource management practices would be positively associated with perceived

organizational performance. Model 2 shows support of the hypothesis in the sense that the variable TF Index was significant at p<.05; however, the overall model fit was not significant. Inasmuch as the control variable, natural logarithm of size, was not significant, this was dropped in Model 3. The resulting equation was significant at p < .05sophistication and the transformational HR practice was also significant p < .05. This at hypothesis 3.

### VII. CONCLUSIONS

There is growing evidence that human resource management practices are associated with firm performance. Most studies have looked into what specific human resource management practices are associated with firm, business or plant level performance. This research extends empirical evidence into the management of the HR function Managing the HR function strategically starts with a strategic human resource plan. The results show a positive relationship between

sophistication of human management plans and perceptions of firm performance. The resource-based view of the firm was invoked to explain why such a relationship exists. Thus when a firm engages in the strategic management of the HR function by undertaking planning activities, it is able to come up with HR practices that are firm-specific and unique and which can be sources of competitive advantage. The process of assessing strengths and opportunities and coming up with plans to get the HR function from where it is to where it wants to be necessitates that it also looks into how HR aligns itself with the broader directions of the organization.

While some studies have looked into the impact of individual practices on business. plant firm. or performance, others have looked into the set of practices as a system. Of interest in this present study is the effect of a set of practices that are considered to have great strategic value in terms of building an organization's long-term capability to be competitive. Indeed, the results showed that a firm that engages in planning for the HR function takes a long-term outlook and has a tendency to invest in practices that build long-term capability. The nature of these long-term capability enhancing or transformational practices is complex, evolves with the organization, and hence are path dependent which makes them difficult to be imitated by competitors. Again, investment in such transformational HR practices can be a source of competitive advantage.

### VIII. LIMITATIONS AND DIRECTIONS FOR FUTURE RESEARCH

While this study has given us insights into the impact of managing the human resource function strategically on perceived organizational performance, the reader is cautioned about limitations introduced by the use of cross sectional data. In addition, the nonrandom nature of data collection also limits the generalizability of the results to firms participating in the survey.

Other measures of HRM sophistication or of the sophistication of the transformational practices may be developed. The present study settled with the simple measures used due to some concern with the brevity of the instrument used. A more detailed question on the time allocated to each activity may provide a better measure of the concepts, but it may also deter the respondents from cooperating with this study. Thus a future research agenda may include using other measures of the variables used in this study such as sophistication of the HRM plan and the sophistication of transformational practices. Other measures of performance may also be used.

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# Appendix Questionnaire

I. Current Human Resource Management Practices	
<ol> <li>Name of unit responsible for human resource str</li> <li>Position title of the highest human resource man</li> <li>Total number of employees in HR including you</li> <li>Do you have any formal human resource managespace)?         <ul> <li>Yes (please go to 5)</li> </ul> </li> <li>What does your formal Human Resource Manages on the appropriate space provided)</li> </ol>	nagement officer in the organization: urself: ement plan (please mark X on the No (please go to 6)
HRM Vision Budg HRM Objectives HRM Specific HRM Plans Other	performed by your HR staff
a. HR planning b. recruitment c. selection d. training needs analysis e. employee orientation f. training g. culture change h. job analysis  i. job evaluation j. compensation design k. compensation administration  m. benefits design	
II. Please encircle a number from "1" and "5" that organization in each of the following areas in	1 9

if you think your organization's performance in the area was outstanding, encircle "5"; if you think performance was poor, encircle "1"; if you think performance was

somewhere in between, encircle any of the numbers between "1" and 5".

Item no.	Area		Rating				
1	sales growth rate	1	2	3	4	5	
2	market share	1	2	3	4	5	
3	operating profits	1	2	3	4	5	
4	new product/service development	1	2	3	4	5	
5	human resource development	1	2	3	4	5	
6	market development	1	2	3	4	5	

- III. Please read each item carefully and rate the degree to which the statement is characteristic of your organization. Encircle a number from "1" and "5" using the following scale:
  - 1 The statement is not at all characteristic of my organization
  - 2 The statement is slightly characteristic of my organization.
  - 3 The statement is moderately characteristic of my organization.
  - 4 The statement is very characteristic of my organization.
  - 5 The statement is extremely characteristic of my organization.

# In my organization,

Item No.	Description			Rating				
1	The focus of HR is on its day-to-day activities.	1	2	3	4	5		
2	The HR executive is not given the opportunity to take a strategic outlook toward HR issues	1	2	3	4	5		
3	The company's strategic planning function does not take any input from the HR department	1	2	3	4	5		
4	The HR department develops programs without taking into consideration the organization's strategic plans.	1	2	3	4	5		
5	The HR department simply takes care of administrative work.	1	2	3	4	5		
6	The HR department is not involved in the formulation of the strategic business plan but is informed of the plan.	1	2	3	4	5		
7	Human resources issues are considered during the strategy formulation process.	1	2	3	4	5		
8	The HR executive is not part of the strategic planning team but is informed of the strategies the organization is considering.	1	2	3	4	5		
9	The HR function provides inputs to the strategic planning team regarding the HR implications of the strategies being considered.	1	2	3	4	5		
10	The strategic plan is passed to the HR function, which develops programs to implement it.	1	2	3	4	5		
11	The HR executive is a member of the strategic planning team.	1	2	3	4	5		

12	HR is involved in strategy formulation.	1	2	3	4	5
13	HR aligns practices in order to support the implementation of	1	2	3	4	5
	the strategic plan.					
14	For the most part, our HR systems are developed from	1	2	3	4	5
1	scratch by the HR staff	III A				
	For the most part, our HR practices are adopted from models					
15	developed by other organizations.	1	2	3	4	5
16	For the most part, our HR practices are developed by	1	2	3	4	5
	consultants.					
17	There is the tendency to readily adopt best practices in HR.	1	2	3	4	5

IV.	Orga	niza	tion	Bacl	kgro	und
A 7 .	UI Su	IIIZU	CIOII	Duc.	The co	CHILCH

17	There is the tendency to readily adopt best practices in HR.	1 2	2 3	4
IV. Org	anization Background			
1. Princ	cipal Business Activities:			
	Established:			
	of Organization (Please mark X on the appropriate space)			
- 1	1 Government Owned Corporation			
<u> </u>	2 Not-for-Profit Organization			
	_3 Corporation with a family or group controlling ownership			
	4 Corporation with no family or group controlling ownership			
	_5 Family Owned			
	Number of Employees in 2000:			
	Number of Contingent Workers in 2000 (e.g., Contractual, Tem	porar	y Sta	.ff):
6. Perc	entage of Employees who are members of unions:			
V D	and and Dealermann d			
V. Res	pondent Background			
1. Nam	ρ'			
2. Age:				
_	tion Title:			
	rs in Position:			
	ber of management levels in the organization above your position	n:		
	ber of management levels in the HR function above your position			
7. Year	rs in the HR function:			
8. Year	rs in the organization:			
	l years of work experience:			
10. Oth	ner functional areas worked in (e.g., marketing, finance, production	n, etc	.):	
	Area Years of Experience in Fun	ection	al Ar	ea
11. Ed	ucational Attainment:	T.,		

Graduated	Degree	School	Year
13. Number and 20		ther business functional	
	rship in Professional Organization of Professional Organization		Membership
-	active in committee activities appropriate space)?	in any of these organiza Yes	tions (Please mark X